

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

520.39873VX1

First Inventor

Shunichi NARUMI et al.

Title

INDUCTIVE HEAD AND MAGNETIC DISK APPARATUS

Express Mail Label No.

APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning patent application contents.

ADDRESS
TO:

Mail Stop Patent Application
Commissioner for Patents
POB 1450
Alexandria, Virginia 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 21]
-Descriptive title of the invention (or title page)
-Cross Reference to Related Applications
-Statement Regarding Fed sponsored R & D
-Reference to sequence listing, a table,
or a computer program listing appendix
-Background of the Invention
-Brief Description of the Drawings (if filed)
-Detailed Description
-Claim(s)
-Abstract of the Disclosure
4. ☒ Formal Drawing(s) (35 U.S.C. 113) [07 Shts.: Elgs 1-7]
5. Oath or Declaration [Total Pages: 04]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
ii. ☐ FILED WITHOUT DECLARATION
6. ☐ Application Data Sheet (See 37 CFR §1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment(s)/Recordation Cover Form(s) PTO-1595
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee) (In Declarations)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of Refs.
Statement (IDS)/PTO-1449
13. ☒ Preliminary Amendment/Claims for Priorities
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: Form PTO-2038 (Fee Code 1001)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application No. 09/811,437 filed 20 March 2001

Prior application information: Examiner: J.A. Watko Group Art Unit: 2652 Confirmation No.: 9521

CONTINUATION/DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

19. CORRESPONDENCE ADDRESS

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Signature

Paul J. Skwierawski

Date

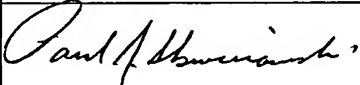
4 March 2004

13281 U.S. PTO
030404

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2002</h3> <p style="margin: 0;"><i>Patent fees are subject to annual revision.</i></p>				<p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>Unassigned (\$53b Div. of 09/811,437)</td> </tr> <tr> <td>Filing Date</td> <td>4 March 2004</td> </tr> <tr> <td>First Named Inventor</td> <td>Shunichi NARUMI et al.</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned (Parent - J.A. Watko)</td> </tr> <tr> <td>Group Art Unit</td> <td>Unassigned (Parent - 2652)</td> </tr> <tr> <td>Attorney Docket No.</td> <td>520.39873VX1</td> </tr> </table>				Application Number	Unassigned (\$53b Div. of 09/811,437)	Filing Date	4 March 2004	First Named Inventor	Shunichi NARUMI et al.	Examiner Name	Unassigned (Parent - J.A. Watko)	Group Art Unit	Unassigned (Parent - 2652)	Attorney Docket No.	520.39873VX1																																																																																																																																																				
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<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge DEFICIENCIES indicated to:</p> <p>Deposit Account Number 01-2135 Deposit Account Name ATS&K</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examination action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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**or number previously paid, if greater; For Reissues, see above.

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Paul J. SKWIERAWSKI	Registration No. (Attorney/Agent)	32,173	Telephone	703-312-6600
Signature				Date	4 March 2004

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